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#7  
BOX MISSING PARTS

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: L.R. Dalton et al.

Attorney Docket No.: UWOTL117403

Application No.: 09/912,444

Group Art Unit: 2874

Filed: July 24, 2001

Title: HYPERPOLARIZABLE ORGANIC CHROMOPHORES

**TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT/  
REQUEST FOR EXTENSION OF TIME**

February 28, 2002

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
- X 2. An Assignment of the invention to University of Washington. A Recordation Form Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment, along with a separate check for the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- X 4. The following additional documents: Substitute Formal Drawings; Preliminary Amendment
- X 5. Small entity status is asserted for this matter.

## COMPUTATION OF FEE

	Number Filed		Number Extra		Rate	
Basic Fee						370
Total Claims	56 - 20	=	36	x	9	= 324
Independent Claims	9 - 3	=	6	x	42	= 252
Surcharge				+	65	65
Extension of Time				+	720	720
<b>TOTAL</b>						<b>\$1731</b>

03/11/2002 AOSMAN1 00000097 09912444

05 FC:218

720.00 OP

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>SM</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

B. Request for Extension of Time

Applicants respectfully request that the shortened statutory period for response to the outstanding Office Action dated August 31, 2001, set to expire on October 31, 2001, be extended by 4 months, to expire on February 28, 2002. The enclosed check includes the 4-month extension fee of \$720.00.

C. Fees Enclosed

Enclosed is our Check No. 124010 in the amount of \$1731.00 to cover the requisite fees.

D. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

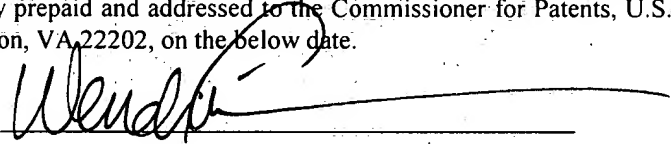
CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on the below date.

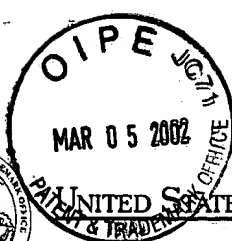
Date: February 28, 2002



GER:md/ws

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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,444	07/24/2001	Larry R. Dalton	UOFW117403

CONFIRMATION NO. 4443

26389

CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

RECEIVED  
DOCKETING

## FORMALITIES LETTER



\*OC000000006504183\*

SEP 07 2001

CHRISTENSEN, O'CONNOR  
JOHNSON & KINDNESS PLLC

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/11/2002 ROSMAN1 00000097 09912444

01 FC:201	370.00 OP
02 FC:202	252.00 OP
03 FC:203	324.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

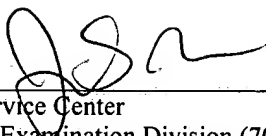
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L.R. Dalton et al. Attorney Docket No. UWOTL117403  
Application No.: 09/912,444 Group Art Unit: 2874  
Filed: July 24, 2001 Examiner: --  
Title: HYPERPOLARIZABLE ORGANIC CHROMOPHORES

DRAWING TRANSMITTAL LETTER

Seattle, Washington 98101

February 28, 2002

TO THE COMMISSIONER FOR PATENTS:

ATTENTION: OFFICIAL DRAFTSPERSON/DRAWING REVIEW BRANCH

The formal drawings for the above-identified application are transmitted herewith. The Official Draftsperson is asked to review the drawings and approve the drawings for entry into the file.

If there are any questions concerning the drawings, the Official Draftsperson is asked to call applicant's attorney at the number listed below.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on the below date.

Date: 2.28.02

GER:md

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